Understanding the Effects of Selecting a Pump Performance Test Acceptance Grade
Foreword (Not part of White Paper)

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a) Develop and publish standards.
b) Address pump systems.
c) Expand knowledge and resources.
d) Educate the marketplace.
e) Advocate for the industry.

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Metric units of measurement are used, and corresponding US customary units appear in parentheses. Charts, graphs, and sample calculations are also shown in both metric and US customary units. Since values given in metric units are not exact equivalents to values given in US customary units, it is important that the selected units of measure to be applied be stated in reference to this guideline. If no such statement is provided, metric units shall govern.

Committee list

This Hydraulic Institute White Paper was produced and approved by a working committee that met many times to facilitate its development. At the time the White Paper was approved, the committee had the following members:

Chair – Al Iseppon, Pentair – Berkeley
Vice-chair – Rodney Mrkvicka, Smith & Loveless, Inc.

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<thead>
<tr>
<th>Committee member</th>
<th>Company</th>
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<tbody>
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Special Acknowledgements – Peer Review

Several independent experts in the industry review and evaluate committee drafts. They conducted a thorough review of the material ensuring the document met the intended goal established by the committee and provided accurate, coordinated, and relevant information to the reader. Their work is extremely valuable and the committee thanks all of them for their time and efforts.

Steven Fehniger         | CDM Smith – Water Service Group                   |
Randal Ferman           | ekwestrel corp.                                   |
Mike Zappone            | Carollo Engineers                                 |
Understanding the Effects of Selecting a Pump Performance Test Acceptance Grade

Introduction
Pump purchasers and specifiers may require pumps be hydraulically performance tested prior to shipment to verify the required performance parameters. This testing is normally performed at the manufacturer’s facility, and the performance parameters are confirmed by conducting a hydraulic performance test on the actual pump unit. This hydraulic testing confirms the pump’s rate of flow, head generated and can include power consumed by the pump unit. The pump is normally tested at various points across its operating curve, including the defined application duty or guarantee point, shut off head, and a flow rate beyond the guarantee point.

A hydraulic performance test provides actual pump operating data for that specific pump unit, including the installed driver if applicable. Since the pump test includes measurements of hydraulic rate of flow, head generated, and consumed power measured by various instruments, the actual pump test results will contain deviations from the predicted values. In addition, because of manufacturing variation, no two pumps will perform exactly alike. Depending on the application and the service required, the amount of deviation allowed can vary. This is defined as the tolerance band. The end user or consultant needs to specify the tolerance band prior to the testing so that the pump manufacturer can properly fabricate and assemble the pump system and conduct the testing to achieve results within the required tolerance.

Selecting the tolerance band involves many factors: the pump’s application, sensitivity of the system, regulatory requirements, pump efficiency, and the importance of the pump in its specified service. The tolerance band selected can also have implications on the cost of testing and the delivery schedule.

Many end users or consultants do not understand the testing differences or the effects of selecting a specific acceptance grade. In some cases, the tolerance selection may not match the intended service or can cause the cost of the pump to increase significantly. A good understanding of the acceptance grades and their effects on the pump unit itself is necessary to ensure the proper selection for the service and the best value for the end user. This document intends to identify these differences and explain the effects and considerations to be made when selecting a certain acceptance grade.

Performance Testing and Guarantees
Three complementary industry standards have been published to assist with standardizing hydraulic pump performance test procedures and acceptance grades. These are:


Included in each standard are multiple performance acceptance grades. What differs between these grades is the tolerance band that can be applied to the test data to determine if a pump successfully meets the end user’s requirements. Some acceptance grades have unilateral (U) tolerance bands and some have bilateral (B) tolerance. The E designation is a special application with a unilateral efficiency tolerance. Table 1 shows the acceptance grade table from ANSI/HI 14.6.
Table 1 — ANSI/HI 14.6 performance acceptance grade table

<table>
<thead>
<tr>
<th>Test parameter</th>
<th>Guarantee requirement</th>
<th>Grade 1</th>
<th>Grade 2</th>
<th>Grade 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rate of flow</td>
<td>Mandatory</td>
<td>± 5%</td>
<td>± 5%</td>
<td>± 8%</td>
</tr>
<tr>
<td>Total head</td>
<td>Mandatory</td>
<td>± 3%</td>
<td>± 3%</td>
<td>± 5%</td>
</tr>
<tr>
<td>Power&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Optional (either/or)</td>
<td>+ 4%</td>
<td>+ 4%</td>
<td>+ 8%</td>
</tr>
<tr>
<td>Efficiency&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Optional (either/or)</td>
<td>- 3%</td>
<td>- 0%</td>
<td>- 5%</td>
</tr>
</tbody>
</table>

<sup>a</sup> Efficiency is a calculated value that is dependent on pump power input and, therefore, either minimum efficiency or maximum pump power input at the guarantee point can be specified, but not both.

Any acceptance test conducted per these industry standards focuses on meeting performance at a given duty point, also referred to as the guarantee point. The guarantee point is the user’s specified head and flow rate normally used to select the pump. The user may also specify a requirement for measured power or calculated efficiency related to the same guarantee point. Additional operating points may be specified along with the guarantee point and will be evaluated at the 3B level.

The purchaser/specifier/end user may select the acceptance grade that best meets their requirements for the specific application. Each grade has unique advantages and disadvantages that should be understood before a final acceptance grade is selected.

**Acceptance Grade Selection Considerations**

Selection of an acceptance grade determines the performance tolerance of a pump agreed upon by the user and the manufacturer. The acceptance grade chosen should be based on the requirements of the user’s application.

The acceptance grade selected can also affect the cost of the pump. It is necessary to maintain tighter manufacturing tolerances and test procedure controls to meet the more stringent acceptance grades. Tighter tolerances and controls will require additional time to manufacture, finish and test the pump, resulting in additional costs and extended delivery times.

Reasons to request a more restrictive acceptance grade for a hydraulic performance test include the following:

- Critical applications that require precise pump performance.
- Ensuring a pump provides a minimum rate of flow needed to meet system or regulatory requirements. For these applications, a unilateral acceptance grade would be applicable.
- A Grade 1 tolerance may be needed for processes that require more exact pump performance controls, or when proper system operation requires that the performance of several pumps be matched. For example, pumps in parallel operation are more easily controlled if their performance curves are similar.
- The shape of the pump curve and the system curves are such that a small change in one has a significant impact on the other. This is a system characteristic that can have significant impact on pump performance in the application and can influence the decision on how exacting the performance must be. See example 2 below.
- Pumps applied in a system that has a relatively flat curve or mostly static head benefit from tighter control of the guarantee point.
More restrictive limits on pump performance could help avoid the use of large safety margins and overdesign of associated equipment. For example, controlling the pump maximum head could lower the pressure rating required for large tanks and pipelines.

A more restrictive Grade 1U acceptance grade applied with the added specification of a power or efficiency tolerance could limit the absorbed power and therefore the associated operating costs. This cost savings could be significant, especially for high power pumps running in continuous operation.

Figure 1 shows a general diagram indicating the acceptance levels and the typical applications.

Figure 1 — Testing acceptance grades and typical guidance notes

For processes where greater performance variation is acceptable but the user must still know the true operating range, a certified test with a wider acceptance tolerance such as Grade 3 can be specified. Other systems will fall somewhere between these examples.

Serious thought must be given to selecting the appropriate acceptance grade. A wider tolerance opens the possibility to accept a smaller pump that will cost less and reduce energy use but still be compliant and adequate for the service intended. In cases where a selection bridges the gap in motor sizes, a wider acceptance grade may allow the use of the smaller motor, again reducing cost and potentially saving energy.

The same situation can occur by selecting a bilateral tolerance as opposed to a unilateral tolerance. The bilateral tolerance opens the possibility to use a slightly smaller pump and motor and reduce cost. This may also open the options when the selection falls between standard motor sizes.
When selecting a tolerance grade, the user must decide what level of precision is needed and understand the implications considering the potential higher cost and longer lead times.

**Testing Acceptance Grade Effects on Cost and Delivery**

Some degree of acceptance tolerance must be applied to all measurable parameters. Variations in hydraulic performance are the result of manufacturing tolerances, testing instrument fluctuations and accuracy, driver (motor) variation, and the inherent instabilities in the pumped media near the pump suction and discharge. The magnitude of these variations will vary directly with the degree of precision applied to manufacturing processes, the test equipment, and test procedures. The higher the precision used in manufacturing and testing, the smaller the expected variations in test results. Refer to ANSI/HI 14.6 Appendix C for more details.

Complying with acceptance grades with the tightest and/or unilateral tolerances (especially when efficiency or power is included) may require castings with smoother surface finish and tighter controlled internal dimensions clearances. Examples of these processes include vane re-profiling, surface polishing, and special surface coatings. Any or all of these may not be standard practice in the production of a part or pump assembly, and can result in additional cost and extended delivery times. Unilateral acceptance grades (1U, and 2U as well as 1E for efficiency) may also require additional enhancements to a pump after initial testing. The pump will then be tested a second time to confirm the enhancements were successful.

Specifying less restrictive tolerance bands, such as Grades 2 and 3, can reduce or eliminate the need for additional manufacturing operations as standard components can be utilized. This limits the impact on cost and delivery time.

**Efficiency or Power Acceptance Requirement Considerations**

When a user specifies pump efficiency or pump power requirements, the manufacturer must have the correct equipment to accurately measure the pump-absorbed power as defined in any of the three testing standards referenced above.

One method requiring torque measurement typically involves the manufacturer using a custom baseplate that allows the torque-meter to be coupled directly between the motor and pump shafts. The addition of couplings, a custom base plate, and the additional setup time involved to ensure accurate alignment adds cost to the test setup. It will also increase the overall time taken to test the pump.

A second method requires the manufacturer to measure power input to a suitably sized calibrated motor. To ensure complete motor coverage, the manufacturer must maintain a suitable inventory of adequately sized calibrated motors, which can significantly increase the cost of testing.

**Acceptance Grade Impacts**

Table 2 reflects the relative impact of acceptance grade selections due to the wide variety of manufacturing processes used to produce the numerous rotodynamic pumps covered by these standards. The magnitude of the actual impacts listed in this table will vary based upon numerous factors including pump size, pump type, and manufacturing processes. In addition, the actual impacts may differ for each manufacturer.

Table 2 also indicates the relative impact of the various testing grades. Items with 0 have no impact. The larger the number of characters ($ or +) indicates more cost or greater lead time, respectively, potentially required to perform the testing to that level.
**Table 2 — Testing acceptance grade impact**

*Efficiency is a calculated value that is dependent on pump power input and, therefore, either minimum efficiency or maximum pump power input at the guarantee point can be specified, but not both.*

**Impact on Energy Usage**

The acceptance grades have an option to include pump power or efficiency at the guarantee point. Unlike the head and flow performance tolerances, there is no maximum limit on efficiency. Instead, a low limit is established based on a percent of the guaranteed efficiency. The most stringent grades allow no negative tolerance on efficiency, while the least stringent can allow a reduction of several percent. Note that the tolerance is a percent of the guaranteed efficiency, and not the number of percentage points less.

An effect of selecting a less restrictive acceptance grade such as Grade 2 or 3 is the potential use of more energy with the greater allowable positive tolerances above the guarantee point’s head or rate of flow. This is illustrated in the Figure 2 where the graph indicates the scale of potential energy versus the testing grade selected. An increase in the energy usage for all unilateral acceptance grades will occur as the pump will be selected to exceed design requirements and in doing so will require more energy to meet the higher demand. Figure 2 indicates the relative level for energy consumption above the nominal amount of energy needed based on selected testing acceptance grades.
Figure 2 — Testing acceptance grade effect on power consumption

Figure 2 shows that specifying a less stringent testing tolerance such as Grade 2 or 3 could lead to the manufacturer providing a larger motor that will use more energy since there is a greater allowable positive tolerance. For some applications, the pump may be supplying more head or rate of flow than specified. This may require the discharge to be throttled or excess flow to be diverted. In this case, the pump system will not be operating with optimal efficiency.

Figure 3 indicates a general scale of the possible energy efficiency reduction versus the testing acceptance grade chosen. Specifying a positive unilateral test acceptance grade may be the best way to maximize the system’s efficiency when a pumping system can effectively utilize the extra head or rate of flow produced by the pump(s), or when a system has to meet specific minimum pumping levels for compliance requirements.
As shown in Figure 3, the tighter Grade 1 test acceptance levels minimize the negative efficiency impact on the pump and still meet the specified efficiency rating.

For pumps that operate continuously or with extended duty cycles, having a pump perform at its optimum efficiency can provide a significant energy cost savings during the pump’s lifecycle. To ensure the pump is operating at its optimum efficiency at the duty point, a more stringent test tolerance may be required. However, requesting a more stringent efficiency tolerance may also increase cost and extend the delivery time for the same reasons that impact cost and delivery when a tighter acceptance grade is applied to a pump’s head and rate of flow performance.

**Test Acceptance Grade Selection Example #1**

Published curves are nominal performance expectations for a given pump and typically include a bilateral tolerance.

For example, if a pump was marketed for sale in an application where the acceptable performance Grade 2B is the market standard, the published curve (shown in Figure 4 as the solid blue curve) will likely represent an average of historical performance of similar units. The dashed curves above and below the blue curve characterize the pump’s performance that would meet the 2B criteria.
Making a selection based on this general market curve, which was intended to have a 2B tolerance for an application that requires a 1U tolerance pump, will likely require adjustment from the manufacturer (as shown with the orange dashed curve on Figure 5) to bring the anticipated performance variation above the requested guarantee point. In the process of raising the tolerance band on basic hydraulic performance, the power required could increase due to the increase in expected rate of flow and head to be within the tolerance band of the requested performance. In this instance, when aiming for the midpoint above the 2B curve (blue curve) for a 1U requirement (orange curve), the power increases substantially to make a non-overloading 100 HP curve now a 125 HP non-overloading curve. Figure 6 provides an example of driver power requirements for a pump.
Test Acceptance Grade Selection Example #2
The next example compares the effects of different system curve shapes on expected performance ranges. It also shows that the magnitude of allowable rate of flow and head should be considered. Figure 7 shows a small pump manufactured for a tolerance band of 3B, where its intended use does not require precise flow control. The solid blue curve represents the published pump curve. The blue dashed lines represent the 3B tolerance band for which it was designed. The grey dashed lines represent a tighter tolerance band of 1B. Two different system curves are also plotted – the steeper System Curve 2 having more friction head than the System Curve 1.
While the flow and head range when the pump is operating with the System Curve 1 is larger than the range when operating with system Curve 2, the magnitude of the ranges should be considered when deciding on the appropriate acceptance grade as illustrated in Table 3 and Table 4.

**Metric Units:**

The design condition is 50 m³/h @ 8.3 m with pump input power of 2.5 kW.

<table>
<thead>
<tr>
<th>Tolerance band</th>
<th>System Curve 1</th>
<th>System Curve 2</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Flow range (m³/h)</td>
<td>Head range (m)</td>
</tr>
<tr>
<td>3B</td>
<td>45 to 54</td>
<td>8.1 to 8.5</td>
</tr>
<tr>
<td>1B</td>
<td>48 to 52</td>
<td>8.3 to 8.4</td>
</tr>
<tr>
<td>Maximum difference between 1B and 3B</td>
<td>3 m³/h</td>
<td>0.2 m</td>
</tr>
</tbody>
</table>

**Table 3 — Metric unit example**

**Figure 7 — Pump performance curve example indicating tolerance bands and system curves**

While the flow and head range when the pump is operating with the System Curve 1 is larger than the range when operating with system Curve 2, the magnitude of the ranges should be considered when deciding on the appropriate acceptance grade as illustrated in Table 3 and Table 4.

**Metric Units:**

The design condition is 50 m³/h @ 8.3 m with pump input power of 2.5 kW.

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<tr>
<td>Maximum difference between 1B and 3B</td>
<td>3 m³/h</td>
<td>0.2 m</td>
</tr>
</tbody>
</table>

**Table 3 — Metric unit example**
US Customary Units:

The design condition is 220 gpm @ 27.3 ft with a BHP of 3.3 hp.

<table>
<thead>
<tr>
<th>Tolerance band</th>
<th>System Curve 1</th>
<th>System Curve 2</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Flow range (gpm)</td>
<td>Head range (ft)</td>
</tr>
<tr>
<td>3B</td>
<td>200 to 238</td>
<td>26.7 to 27.8</td>
</tr>
<tr>
<td>1B</td>
<td>213 to 228</td>
<td>27.1 to 27.5</td>
</tr>
<tr>
<td>Maximum difference between 1B and 3B</td>
<td>13 gpm</td>
<td>0.4 ft</td>
</tr>
</tbody>
</table>

Table 4 US customary unit example

The pump will likely require rework to meet the 1B tolerance because it is designed to a 3B tolerance. This may result in a delivery time of weeks rather than days. It may also add to the cost of the pump. The pump system designer should consider if the potential 3 m³/h or 0.2 m (13 gpm or 0.7 ft.) difference in tolerance bands merits the additional time and money for the application.

If the pump is specified with a power tolerance, there is also a small difference in potential power absorbed if manufactured to a 1B tolerance rather than a 3B tolerance. The 3B tolerance allows a BHP up to 2.7 kW (3.6 HP) while the 1B tolerance allows only up to 2.6 kW (3.43 HP) amounting to only a 0.12 kW (0.17 HP) difference for this small pump. Assuming a cost of 0.13 € per kWh and even if run continuously, this an energy savings of only 136 € per year. Likewise, assuming a cost of $0.05 per kWh and even if run continuously, this an energy savings of only $54 per year.

Test Acceptance Grade Selection Example #3

Typical Performance Curves versus Test Grade and Acceptance Grade

The curves in this example were developed to demonstrate how a pump manufacturer might vary the submittal curve depending on the customer’s specification for a testing and acceptance grade.

Grade 1 Testing

All Grade 1 testing will have the same tolerance band width on rate of flow and the allowable range for the head. There are two bilateral tolerance ranges and one unilateral tolerance ranges detailed for Grade 1 testing.

Both the 1B and 1E acceptance grades shown in Figures 8 and 9 have the same bilateral acceptance on rate of flow and head; however, the 1B allows a -3% tolerance on the optionally guaranteed efficiency. Often this is reflected in the efficiency curve that the pump manufacturer produces for these two grades.
The 1U acceptance grade shown in Figure 10, like the 1E acceptance grade, does not allow any negative tolerance on the optionally guaranteed efficiency. Since all rate of flow and head guarantees are based on no negative tolerance being allowed, the pump manufacturer will typically use a slightly larger impeller diameter to ensure that the unilateral performance guarantees are met. The larger impeller diameter will require additional power, which typically appears on the submittal curve.

When an optional power guarantee is specified by the customer, the 1U curve typically will show a higher power draw to reflect the additional power required to cover the additional rate of flow and head in the unilateral acceptance grade.

Grade 2 Testing

All Grade 2 testing will have the same tolerance band width on rate of flow and the allowable range for the head. There is only one bilateral tolerance range and one unilateral tolerance range detailed for Grade 2 testing. See...
Figures 11 and 12. Both the 2B and the 2U acceptance grades allow a -5% tolerance on the optionally guaranteed efficiency.

When the optional power guarantee is specified by the customer, the 2U curve typically will show a higher power draw to reflect the additional power required to cover the additional rate of flow and head minimums for the unilateral acceptance grade.

**Grade 3 Testing**

Grade 3 testing has the most liberal rate of flow and head acceptance grade as shown in Figure 13. The 3B bilateral acceptance grade is the only option for Grade 3 testing. The 3B acceptance grade allows a -7% tolerance on the optionally guaranteed efficiency, which is often reflected on the efficiency curve that the pump manufacturer produces for this grade.
Summary

This paper highlights the importance of selecting the appropriate acceptance grade for pump performance testing to satisfy the needs of its intended service.

There are many reasons for selecting a specific acceptance grade when requesting hydraulic performance tests. Some are specified by the user based on applications and industry standards, some are mandated by contract and some are “because we’ve always done it this way.” In most cases there is plenty of logic in the selection of the grade and how it is applied. However, the impact on the cost and delivery of that decision is not always clearly understood. Application of the tightest tolerances will require additional work by the manufacturer, entail additional costs and require extra lead time. Less stringent testing grades can potentially reduce the manufacturing and testing schedules.

There are good reasons for requiring the tightest tolerances and that is why they are listed in ANSI/HI 14.6, 11.6, and ISO 9906. There are also applications where selection of the more stringent acceptance grade is not necessary to ensure the pump will operate satisfactorily in the process. The user or specifier should evaluate all grades available and make an informed decision selecting the appropriate grade for their application, delivery requirements and cost targets.

To purchase any of the referenced standards, please contact Hydraulic Institute at www.pumps.org or ISO at www.iso.org.